

Requirements for Publications

Submitted by Affiliated Researchers in Philology and Linguistics at HSE University

Defence of a PhD dissertation by Affiliated Researchers

The following papers must be submitted for the PhD dissertation defence:

- 3 (three) articles (published either in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University). At least one article should be written by the researcher independently (without co-authors), except for special sections of such concentrations as the Theory of Language¹ and Applied and Mathematical Linguistics², wherein the researcher must be the first or lead author of at least 1 (one) article.

The following papers must be submitted for the defence of the PhD dissertation comprised of research articles:

- 3 (three) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University), including at least 1 (one) article in Q1-Q2 in WoS or Scopus. At least 1 (one) article should be authored by the researcher (without co-authors), except for special sections of such concentrations as the Theory of Language¹ and Applied and Mathematical Linguistics², wherein the researcher must be the first or lead author of at least 1 (one) article.

Defence of a Doctor of Sciences (DS) dissertation by Affiliated Researchers

The following papers must be submitted for the dissertation defence:

- 15 (fifteen) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University). At least 3 (three) articles should be authored by the researcher (without co-authors), except for special sections of such concentrations as the Theory of Language¹ and Applied and Mathematical Linguistics², wherein the researcher must be the first or lead author of at least 3 (three) articles.

The following papers must be submitted for defence of the dissertation comprised of research articles:

¹ Special sections of a concentration in the Theory of Language are as follows:

Experimental psycho- and neurolinguistics, including the study of mental and biological language foundations, which draw on the data obtained in the course of a reproducible controlled experiment with the use of mathematical and statistical methods for processing measured outcomes.

² Special sections of a concentration in the Applied and Mathematical Linguistics are as follows:

Computer and corpus-based linguistics, including the development of methods, algorithms, models and technologies in the field of search and retrieval of information, automated analysis, synthesis and understanding of a natural language, as well as creation and development of linguistic electronic resources (including corpus frameworks, databases, ontologies, dictionaries, etc.), as well as related software.

- 15 (fifteen) articles (published in WoS and Scopus-indexed journals or in the list of journals recommended by HSE University), including at least 5 (five) articles in Q1-Q2 in WoS or Scopus. At least 3 (three) articles should be authored by the researcher (without co-authors), except for special sections of such concentrations as the Theory of Language¹ and Applied and Mathematical Linguistics², wherein the researcher must be the first or lead author of at least 3 (three) articles. Defence can be based on 7-10 articles (at the researcher's discretion).

The research articles can be published in German and French if the dissertation was prepared as part of two diploma programmes with German or French universities.

General requirements across all fields of research

All submitted publications must include key research outcomes of a PhD dissertation.

Articles which have been accepted for publication shall also be counted along with already published papers, if an official confirmation has been provided by the publisher or the editorial board of a journal.

At the initial stage of discussion, the Dissertation Council retains the right for a substantive expert review of submitted articles. In case of a monograph, the Dissertation Council has the authority to recognize whether or not a monograph meets the requirements for research publications.

If a list of eligible publishers has been approved for a certain field of research as per HSE University's regulations, publishers included in this list shall be recognized automatically. Other publishers may be recognized following the Dissertation Council's approval.

The Dissertation Council can refuse to recognize as a separate publication a repeat publication of the research paper, including its translation into a foreign language.

Publications in journals included in the blacklist of journals (<https://scientometrics.hse.ru/blacklist>) shall not be counted in all cases.

Publications in journals, where the researcher takes a position of the chief editor, or deputy chief editor, or responsible secretary at the time when the research papers is published, shall not be counted.

Please see the list of journals recommended at HSE University at: <https://scientometrics.hse.ru/goodjournals>

WoS-indexed publications are provided not including the Emerging Sources Citation Index (ESCI), unless agreed otherwise. Scopus-indexed publications or papers in the HSE University's recommended list of journals shall be counted.

The journal's quartile shall be determined by the year of the research paper's publication in a given journal. If no information about the year of publication is available, the last year for when such information is available shall be considered as the year of the publication.

If there are different quartiles of a journal, the quartile of a researcher's article shall be determined by use of the quartile for the concentration closest to the topic of the researcher's dissertation.

If the Dissertation Council proposes a special procedure for counting publications in the list of leading journals or any other additional lists, lists of such journals shall be prepared and made available for public access.

The lead author of the article shall be determined pursuant to rules of the publishing journal or, if no such rules are available, by the Dissertation Committee's decision.

For academic conference materials in the field of Natural and Technical Sciences (CORE, etc.), only materials in respect of the conference agenda shall be counted.

In Humanities and Social Sciences, articles can be substituted by individual peer-reviewed research monographs published by the leading publishers from the approved list.

Articles attached to the dissertation in the field of Humanities and Social Sciences can be published in any foreign language, if the topic of the dissertation relates to a respective country or language, upon approval of the Dissertation Council. Individual articles submitted for defence (comprising the thesis/dissertation) should be provided in Russian or English only.