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HSE Academic Council  
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## **Criteria for Publications by Candidate of Sciences/PhD/Doctor of Sciences Candidates in Economics:**

### **Candidate of Sciences/PhD Candidates' thesis defense**

When defending a thesis, the following works must be included:

- 3 articles (all indexed in WoS, Scopus or HSE University's list of recommended journals); at least 1 article should not be co-authored;

If an article has been published in a journal not lower than Q2 (WoS or Scopus) as 1 (or 2) publication(s), a preprint (2 preprints) published in English as a part of a Centre for Basic Research field-specific collection may be accepted;

A peer-reviewed paper in a conference compilation may be accepted as one of the articles, if it has been indexed in WoS or Scopus. The candidate must provide a review for it to be considered.

The following should be provided during the defense process:

- 3 articles all included in WoS, Scopus or HSE's list of recommended journals), of which 1 article included in Q1-Q2 WoS or Scopus. At least 1 article should not be co-authored.

### **Doctor of Sciences Candidates' dissertation defense**

When defending a dissertation, the following should be attached:

- 15 articles (all in WoS, Scopus or HSE University's list of recommended journals), of which 3 shall be in the Q1-Q2 of WoS or Scopus. At least 2 articles should not be co-authored.

Publications in highly rated journals shall be considered as per the following ratio:

- 1 article in a Q1 journal (WoS or Scopus) = 2 articles
- 1 article in a journal approved by a special decision of the dissertation committee as a leading journal in the given field = 3 articles.

The dissertation committee shall retain the right to equate a peer-reviewed monograph to a certain number of articles – from 1 to 4 articles.

While defending articles, the following must be provided:

- 15 articles (all indexed in WoS, Scopus or HSE University's list of recommended journals), of which 5 shall be articles in Q1-Q2 of WoS or Scopus. At least 5 articles should not be co-authored.

Publications in highly rated journals shall be considered as per the following ratio:

- 1 Q1 article (WoS or Scopus) = 2 articles;
- 1 article in a journal rated by the dissertation committee's special decision as a leading journal in the given field (top-5 general-interest or top-field ) = 3 articles.

### **Clarifications on Criteria for All Fields of Study**

In all instances, publications submitted should include key results of the thesis/dissertation research.

In all instances, in addition to publications, works accepted for print shall be considered as long as an official confirmation from the publisher or the editorial board of the respective journal is provided.

In all instances, at the preliminary stage of discussion, the dissertation committee shall retain the right to carry out an expert review of the contents of the articles submitted, or, in the case of monographs, check whether the publication meets the criteria for an academic publication.

If for a given field of study as per the procedure in place at HSE University, a list of publishers is approved whose published monographs may be accepted for review, the publishers on this list shall be recognized automatically. Other publishing houses can be validated as per the dissertation council's decision.

The dissertation committee may not recognize the repeat publication of a given text as a separate publication (e.g., translation into another language).

In all instances, articles in journals included on HSE University's blacklist shall not be considered (<https://scientometrics.hse.ru/blacklist>).

Publications in journals, where the candidate is the chief editor, deputy editor or assistant editor at the moment of the publication shall not be considered.

HSE University's list of recommended journals can be found here: <https://scientometrics.hse.ru/goodjournals>.

Publications included in the WoS shall be taken without consideration for the Emerging Sources Citation Index (ESCI), if its inclusion has not been specified. Publications included in Scopus and HSE University's list of recommended journals shall be considered.

The quartile of a journal shall be determined as the year of the article's publication in the journal. If no information is available on the year of publication, the last year when this information was available shall be considered.

If a journal features several quartiles, the quartile on the article by the candidate shall be determined according to the subject field most closely associated with the topic of the given thesis/dissertation.

If the dissertation committee opts to consider publications included on the list of leading journals or any other ancillary lists, lists with such journals must be drawn up and made accessible.

The main co-author of an article shall be determined in line with the rules of the journal where it was published; if such rules are absent, this shall be decided by the dissertation committee itself.

With respect to materials from academic conferences in the natural and technical sciences (i.e., CORE, etc), only materials from a conference's main programme shall be considered.

With respect to the Social Sciences and Humanities, articles may be replaced by individual peer-reviewed monographs, published in leading publications, if they are included in an approved list.

With respect to the Social Sciences and Humanities, articles published in any other foreign languages may be attached to the thesis/dissertation if the thesis topic is related to a particular country and/or language, as per the decision of the dissertation committee. Defended articles (without a respective dissertation) can only be submitted in Russian or English.