



APPROVED by HSE  
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## **Criteria for Publications Submitted by Candidates for HSE University Law Degrees**

### **Dissertation Defence for Obtaining a Candidate of Sciences (PhD) Degree in Law**

- at least 3 (three) articles published in WoS (including ESCI) and/or Scopus-rated journals, as well as the list of journals recommended at HSE University, provided that at least 2 (two) articles have been written by a single author, without co-authors -  
must be submitted for the defence of an individual dissertation work.

- at least 5 (five) articles published in WoS (including ESCI), and/or Scopus-rated journals, or the list of journals recommended by HSE University, including 1(one) article in Q-1-Q2 WoS or Scopus-rated journals, provided that at least 3 (three) articles have been written by a single author without co-authors -

must be submitted for a defence of a collection of articles; the defence can be held on the basis of three to five articles, at the candidate's own discretion.

### **Dissertation Defence for Obtaining a Doctor of Sciences (DS) Degree in Law**

- at least 1 (one) monograph on the topic of the dissertation and 12 articles published in WoS- (including ESCI) and/or Scopus-rated journals, or the list of journals recommended by HSE University, provided that at least 6 (six) articles have been prepared by a single author, without co-authors -

must be submitted for the defence of an individual dissertation;

- at least one (1) monograph on the topic of the dissertation and 15 articles published in WoS- (including ESCI) and/or Scopus-rated journals, or list of journals recommended by HSE University, including 3 (three) articles in Q-1-Q2 WoS or Scopus-rated journals, provided that at least 8 (eight) articles have been prepared by a single author, without co-authors -

must be submitted for the defence of a collection of articles; the defence can be held on the basis of seven to 10 articles, at the candidate's own discretion.

A dissertation can be substituted by an individual peer-reviewed research monograph published by a leading publisher, included in the approved list of publishers (with all other criteria remaining applicable).

Articles can be substituted by individual peer-reviewed research monographs published by leading publishers, specified on the approved list of publishers.

### **General Notes to Criteria for Publications in All Fields of Study**

In all instances, a dissertation's key research outcomes must be demonstrated in publications submitted by candidates.

In all instances, papers accepted for publication will be considered along with published papers, if a publisher or the respective journal's editorial board has issued an official confirmation.

In all instances, the dissertation council shall be entitled to carry out a thorough expert review of submitted articles at the initial stage of discussion, as well as to verify that monographs meet the requirements set for academic publications.

If a list of publishers in the given field of study is approved as per the established procedure at HSE University in regards to monographs accepted for consideration, publishers on this list shall be recognized automatically. Other publishers can be approved as per the dissertation council's decision.

The dissertation council may refuse to consider a repeated publication, including its translations into other languages.

In all instances, no publications in journals included on the University's black list will be considered (<https://scientometrics.hse.ru/blacklist>).

In all instances, no publications in journals, where the candidate serves as chief editor, deputy chief editor or responsible secretary, as at the publication date, will be considered.

Please refer to HSE University's list of recommended journals: <https://scientometrics.hse.ru/goodjournals>

Web of Science (WoS) publications will be considered with the exception of the Emerging Sources Citation Index (ESCI), unless specified otherwise.

The quartiles of a journal will be determined by the year of the publication of the candidate's article in said journal. If there is no information about the year of publication, the last year, for which such data is available, shall be considered.

If a journal features different quartiles, the quartile for the candidate's article will be determined by the field of study, which is closest to the topic of the candidate's dissertation.

If the dissertation council proposes a special procedure for considering publications from the list of leading journals or any other additional lists, lists of such journals must be developed and made available to the public.

The main co-author of an article shall be determined according to the rules of the journal, where the article has been published, or as per the dissertation council's

decision, if such rules are not found.

With respect to conference papers in the natural and technical sciences (e.g., CORE, etc.), materials included in the core programmes of conferences will be considered.

With respect to the social sciences and humanities, articles can be substituted with individual peer-reviewed research monographs published by leading publishers included in the approved list.

In addition, with respect to the social sciences and humanities, articles attached to an individual dissertation can be published in other foreign languages, if the topic is concerned with a relevant country or language, as per the decision of the dissertation council. Articles submitted for defence (without a dissertation) can only be presented in Russian or English.