

## **Requirements of HSE Management Dissertation Council for Publications by Academic Degree Candidates**

### **Dissertation Defense for a Candidate of Science/PhD Degree**

When defending a dissertation work, the following should be attached:

- At least three articles (all indexed in WoS/Scopus or published in journals included in HSE University's A, B, C or D lists of journals). At least one article should be without co-authorship.

When defending academic articles, the following should be submitted:

- At least three articles (all indexed in WoS/Scopus or published in journals, included in the University's A, B, C or D lists of journals), out of which at least one article is indexed in Q1-Q2 WoS/Scopus or in publications included in the A list of journals. At least one article should be without co-authorship.

### **Dissertation Defense for a Doctor of Science Degree**

When defending a dissertation work, the following should be attached:

- At least 15 articles (all indexed in WoS/Scopus or published in journals included in HSE University's A, B, C or D lists of journals), out of which at least three articles should be indexed in Q1-Q2 WoS/Scopus or in publications included in the A list of journals. At least three articles should be without co-authorship.

When defending academic articles, the following should be submitted:

- At least 15 articles (all indexed in WoS/Scopus or published in journals included in HSE University's A, B, C or D lists of journals), out of which at least five articles should be indexed in Q1/Q2 WoS/Scopus, or in journals included in the A list of journals. At least five articles should be without co-authorship. The defense process may focus on seven to 10 articles (at the candidate's own choice).

During the defense process for the awarding of Doctor of Science degrees, the dissertation council may decide to consider chapters in monographs as articles if they are released by publishers included in the University's list of publications considered when assigning 3<sup>rd</sup> tier bonuses (for monographs) (up to three chapters).

## General explanation of the requirements

In all cases, the submitted publications should reflect the main scientific results of the dissertation research. In all cases, along with the published works, works accepted in print are taken into account, if there is official confirmation from the publisher or the editorial board of the journal.

In all cases, the dissertation council at the preliminary stage of the discussion retains the right to substantive examination of the submitted articles, and in the case of a monograph - the competence of recognizing the monograph as meeting the requirements of a scientific publication.

If a list of publishers in which a monograph accepted for consideration can be published is approved for a given field of science in accordance with the procedure established at HSE, then publishers included in this list are automatically recognized. Other publishers can be recognized by the decision of the dissertation council.

The dissertation council may not recognize as a separate publication a republication of the text, including with translation into another language. In all cases, publications in editions listed in the list of dishonest editions (<https://scientometrics.hse.ru/blacklist>) are not taken into account.

In all cases, publications in journals in which the applicant is the editor-in-chief, deputy editor-in-chief or the executive secretary at the time of publication are not taken into account.

For a list of HSE recommended journals, see: <https://scientometrics.hse.ru/goodjournals>

The publications in the Web of Science are taken without taking into account the Emerging Sources Citation Index (ESCI) database, if its inclusion is not specified. At the same time, publications included in Scopus or the list of recommended HSE publications are taken into account.

The log of the journal is determined by the year of publication of the article of the applicant in this journal. In the absence of data for the year of publication, the last year for which such data are available is taken.

If there are different quartiles of the journal, the quartile is determined by the applicant's specialty closest to the topic of the dissertation of the applicant.

If the dissertation council proposes a special order to take into account the publication in the list of leading journals or other additional lists, the lists of such journals should be formed and placed in the public domain.

The main co-author of the article is determined in accordance with the rules of the journal in which the article is published, and in the absence of such rules - by the decision of the dissertation council.

When it comes to materials of scientific conferences in the natural and technical sciences (CORE, etc.), only materials from the main conference program are taken into account.

In the social and human sciences, articles may be replaced by individual peer-reviewed scientific monographs published by leading publishers on the approved list.

In the social and human sciences, attached to a separate dissertation articles can be published in any other foreign languages, if the topic of the thesis is related to the country or language concerned, by decision of the dissertation council. Protected articles (without a separate dissertation) are provided only in Russian or English.